

COMPLIANCE RESOURCES FOR YOU



As UConn or UConn Health employees it is important that we understand and meet our various compliance responsibilities. The good news is that there are a number of resources available to assist us with this. In particular, the Office of University Compliance (OUC) supports the University's commitment to compliant and ethical conduct by providing educational training and resources; assisting decentralized compliance areas with monitoring efforts; and by offering channels for individuals to ask questions and report concerns.

Explore Our Featured Resources

Below we feature select compliance resources that aid in the mission of promoting a University-wide culture of compliance and ethics on all UConn campuses, including UConn Health.



TRAINING

OUC provides the UConn and UConn Health communities with innovative and accessible opportunities to build awareness, receive training, and further develop an understanding of compliance-related topics. This year's Annual Compliance and Ethics Training has launched and is due to be completed by May 27th.

[Click here to learn more.](#)



COMPLIANCE CLIPS

Compliance Clips is a series of short animated videos designed to raise awareness of important compliance topics, University expectations, and helpful resources. A summary of key takeaways and resources accompanies each clip.

Check out our latest Compliance Clips by visiting:
compliance.uconn.edu/compliance-clips/.



COMPLIANCE CHATTER

Our compliance focused publication (Compliance Chatter) provides the UConn and UConn Health community with updates and resources on a wide range of compliance matters, policies, upcoming events, and much more. Want to receive periodic Compliance Chatters via email? [Click here to join our Compliance Listserv.](#)

Already signed up? [Re-read your favorite Compliance Chatters here.](#)



ETHICS RESOURCES

Do you have a question on the State Code of Ethics? Did you receive payment of reimbursement for a Necessary Expense or Gifts to the State and aren't sure how to report it to the Office of State Ethics? Need assistance with completing a Disclosure Conflict of Interest Form?

[Visit the ethics page on our website for helpful tools and resources on matters related to complying with the State Code of Ethics.](#)



24/7 REPORTLINE

UConn welcomes and encourages good-faith reporting of compliance concerns and seeking guidance regarding compliance issues. While employees are always encouraged to discuss concerns or potential compliance issues with their supervisors and managers, we recognize that may not be comfortable for all. Therefore, UConn and UConn Health community members are encouraged to contact the Office of University Compliance directly, or to utilize the UConn Reportline.

Not sure how? [Visit the reporting concerns page on our website to learn more](#). Also, be sure to check out our latest [Compliance Clip on how to submit a report a concern using the Reportline's online web form](#).



COMPLIANCE CONTACTS

A core component of our office is to partner with units across UConn and UConn Health with compliance responsibilities. With so many different functions, it can be hard to know who to contact when you have a question or concern. To assist with this, the Office of University Compliance has created a matrix which highlights key compliance units and briefly describes what they do and how they can help you.

[Click here to check out this resources](#).



POLICIES

Policies and procedures are essential to UConn's daily operations. Policies provide a clear and consistent roadmap for maintaining compliance with institutional expectations, laws and regulations. To learn more about UConn policies, please visit policy.uconn.edu. Here you will also find information on how to sponsor a new or revised policy.

[Contact the University's Policy and Compliance Coordinator](#) for questions related to the policy process at UConn and UConn Health.



CONTACT UNIVERSITY COMPLIANCE

Would you like to learn more about these compliance resources?

Contact the Office of University Compliance at: compliance@uchc.edu.

Also, feel free to visit: compliance.uconn.edu.

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