



FRAUD PREVENTION AND REPORTING

This resource summarizes key highlights presented in the associated Compliance Clips video. [Click Here](#) for other compliance related resources.

KEY POLICY HIGHLIGHTS



The University's [Prevention and Reporting of Fraud and Fiscal Irregularities Policy](#) informs us of our responsibility to safeguard University resources, and establishes standards for reporting known or suspected fraud or fiscal irregularities.

Fraud and fiscal irregularities generally involve an intentional or deliberate act, omission or concealment with the intent of obtaining an unauthorized benefit, such as money, property or other personal or business advantage, by deception or other unethical means.



The policy **applies to all members of the UConn and UConn Health communities** including faculty, staff, contractors, business associates, and others involved in UConn and UConn Health activities.



Concerns can be discussed directly with supervisors, Audit and Management Advisory Services (AMAS), and/or Campus Police. Also, the University's REPORTLINE can be used to report known or suspected concerns anonymously.

The REPORTLINE is available 24/7. Reports are handled in a confidential manner and no effort is made to trace calls or identify the person reporting. Information received is provided to AMAS for review and appropriate action.

To report via the REPORTLINE contact



1-888-685-2637



<https://uconncares.alertline.com/gcs/welcome>

EXAMPLES OF FRAUD & FISCAL IRREGULARITIES

- Misappropriation, misapplication, removal, or concealment of University property
- Forgery, falsification, or alteration of documents and/or information (e.g., checks, bank drafts, deposit tickets, promissory notes, time cards, travel expense reports, contractor agreements, purchase orders, etc.)
- Theft or misappropriation of funds, securities, supplies, inventory, or any other University assets including furniture, fixtures, equipment, data, and intellectual property
- Billing customers, patients and third party payers for services when it is known that such services were not provided
- Authorizing payment to vendors when it is known that goods or services were not received or performed
- Misusing University resources, such as facilities, vehicles, telephones, mail systems, or computer-related equipment
- Engaging in bribery, accepting kickbacks, or seeking unauthorized rebates
- Other actions related to concealing or perpetuating any fraud or fiscal irregularity

ADDITIONAL RESOURCES

[VIEW THE POLICY HERE](#)

[INFO ABOUT THE REPORTLINE](#)

[AUDIT & MANAGEMENT ADVISORY SERVICES](#)

[POLICE DEPARTMENT](#)